

# Duflo isomorphism and the Kashiwara–Vergne conjecture in depth 2

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## 1 Duflo isomorphism

Let  $k$  be a field of characteristic zero,  $\mathfrak{g}$  a finite dimensional Lie algebra. We define the tensor algebra

$$T\mathfrak{g} = k \oplus \mathfrak{g} \oplus (\mathfrak{g} \otimes \mathfrak{g}) \oplus \dots$$

and the symmetric algebra

$$S\mathfrak{g} = T\mathfrak{g} / \langle x \otimes y - y \otimes x \mid x, y \in \mathfrak{g} \rangle \cong K[x_1, \dots, x_n],$$

where  $x_1, \dots, x_n$  is a basis of  $\mathfrak{g}$ . On the other hand, we have a universal enveloping algebra

$$U\mathfrak{g} := T\mathfrak{g} / \langle x \otimes y - y \otimes x - [x, y] \mid x, y \in \mathfrak{g} \rangle$$

Recall that  $\mathfrak{g}$  acts on  $\mathfrak{g}$  via the adjoint representation:  $\text{ad}_x: \mathfrak{g} \rightarrow \mathfrak{g}$ ,  $y \mapsto [x, y]$ . This action extends to  $S\mathfrak{g}$  (and to  $U\mathfrak{g}$ ) by derivation  $\text{ad}_x(y^n) = n[x, y]y^{n-1}$ . Let us define the invariant elements

$$\begin{aligned} (S\mathfrak{g})^{\mathfrak{g}} &= \{\rho \in S\mathfrak{g} \mid \text{ad}_x(\rho) = 0 \text{ for all } x \in \mathfrak{g}\}, \\ (U\mathfrak{g})^{\mathfrak{g}} &= \{\rho \in U\mathfrak{g} \mid \text{ad}_x(\rho) = 0 \text{ for all } x \in \mathfrak{g}\} = Z(U\mathfrak{g}). \end{aligned}$$

We can define a symmetrization map

$$x_1, \dots, x_n \mapsto \frac{1}{n!} \sum_{\sigma \in S_n} x_{\sigma(1)} \cdots x_{\sigma(n)},$$

but it is not an isomorphism of algebras.

**Теорема 1.1** (Duflo, 1977). There is an isomorphism of algebras  $(U\mathfrak{g})^{\mathfrak{g}} \cong (S\mathfrak{g})^{\mathfrak{g}}$  given by  $Duf: \text{Sym} \circ \partial_{J^{1/2}}$ , where

$$J^{1/2} = \left( \det \left( \frac{e^{\text{ad}_x} - 1}{\text{ad}_x} \right) \right)^{1/2} = \exp \left( \frac{1}{2} \text{Tr ad}_x + \frac{1}{2} \sum_{k=2}^{\infty} \frac{B_k}{k \times k!} \text{Tr}(\text{ad}_x^k) \right).$$

For example, take  $\mathfrak{g} = \mathfrak{su}(2) = \langle x, y, z \rangle$  with relations  $[x, y] = z$ ,  $[y, z] = x$ ,  $[z, x] = y$ . Then  $(S\mathfrak{g})^{\mathfrak{g}} = \mathbb{R}[x^2 + y^2 + z^2]$ , and the Duflo isomorphism is given by

$$Duf: x^2 + y^2 + z^2 \mapsto x^2 + y^2 + z^2 + 1/24,$$

and

$$\partial_{J^{1/2}} = 1 + \frac{1}{24} \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) + \text{elements of higher order.}$$

The proof was given by Duflo in 1977. In 1978 Kashiwara–Vergne stated a conjecture that implies Duflo isomorphism. Kontsevich proved Duflo theorem using formally Feynmann graph calculus. Then in 2006 Alekseev and Meinrenken proved KV, and in 2008 Alekseev and Torossian gave another proof.

## 2 Kashiwara–Vergne conjecture

KV conjecture shows how to transfer convolution product using exp map. It yields two equations.

Recall the CBH formula (Campbell–Baker–Hausdorff):

$$\log(e^x e^y) = ch(x, y) = x + y + \frac{1}{2}[x, y] + \frac{1}{12} \dots$$

KV conjecture: there exist two Lie series  $A(x, y)$ ,  $B(x, y)$  such that

1.  $x + y - ch(x, y) = (1 - e^{\text{ad}_x})A + (e^{\text{ad}_y} - 1)B;$

- 2.

$$\text{Tr}_{\mathfrak{g}}(\text{ad}_x \circ \partial_x(A) + \text{ad}_y \circ \partial_y(B)) = \frac{1}{2} \text{Tr}_{\mathfrak{g}} \left( \frac{\text{ad}_x}{e^{\text{ad}_x} - 1} + \frac{\text{ad}_y}{e^{\text{ad}_y} - 1} - \frac{\text{ad}_{ch(x,y)}}{\exp^{\text{ad}_{ch(x,y)}} - 1} \right)$$

where  $\partial_x A: \mathfrak{g} \rightarrow \mathfrak{g}$ ,  $v \mapsto \frac{d}{dt}(A(x + tv, y))|_{t=0}$ .

How does this imply Duflo isomorphism? Define a 1-parameter family of products

$$m_t: S_{\mathfrak{g}}[t] \rightarrow U(\mathfrak{g}[t], t[-, -]),$$

where  $t[]$  is a rescaled product. The idea is that  $m_0$  is just the regular commutative product on  $S_{\mathfrak{g}}$ , and for  $t \neq 0$   $m_t$  is a non-commutative product on  $U_{\mathfrak{g}}$ .

We want to have  $m_0 = m_t$  on  $\mathfrak{g}$ -invariant elements. When trying to write this equality, we get equations on the Lie series  $A$  and  $B$  that are implied by the KV equations!

Some extensions of Duflo isomorphism to symmetric spaces are proven by Rouviere using “KV method”.

There is a group  $\widehat{KV}_2$ , which is the symmetry group of the KV problem. Let  $\widehat{krv}_2$  be its Lie algebra.

**Гипотеза 2.1.**  $\widehat{krv}_2$  is isomorphic to  $kt \oplus grt_1$ , where  $grt_1$  is the Grothendieck–Teichmüller Lie algebra. Moreover, it is isomorphic to the double shuffle multi zeta values Lie algebra (see Schneps, Zagier).

Now we need to define  $krv_2$  and  $grt_1$ .

Consider a completion of the free Lie algebra on two elements:  $\widehat{lie}_2 = \prod_{k=1}^{\infty} lie_2^k(x, y)$ . Here  $x, y \in lie_2^1$ ,  $[x, y] \in lie_2^2$ ,  $[x, [x, y]] \in lie_2^3$ , and so on. It is graded by “length” of the Lie words. The universal enveloping algebra of this algebra is isomorphic to free associative algebra:

$$U(\widehat{lie}_2) \cong \widehat{Ass}_2 = \prod_{k=0}^{\infty} Ass_2^k(x, y),$$

where for  $k = 1$  we have the elements  $x, y$ , for  $k = 2$  we have  $x^2, xy, yx, y^2$ , and so on. For  $a \in \widehat{Ass}_2$  we have

$$a = a_0 + (\partial_x a)x + (\partial_y a)y + \dots,$$

where  $a_0 \in k$  is the constant term. For example, for  $a = xy - y^2x$  we have  $\partial_x(a) = -y^2$ ,  $\partial_y(a) = x$ .

Now we can define  $tr_2 = Ass_2^+ / \langle ab - ba \mid a, b \in Ass_2^+ \rangle$ , where  $Ass_2^+$  consists of elements with zero constant term. This thing consists of cyclic words in  $x$  and  $y$ : for  $k = 1$  we have  $tr(x)$ ,  $tr(y)$ , and for  $k = 2$  we have  $tr(x^2)$ ,  $tr(y^2)$ ,  $tr(xy) = tr(yx)$ .

Let  $der_2$  be derivations on  $\widehat{lie}_2$ , so  $u \in der_2$  if  $u([a, b]) = [u(a), b] + [a, u(b)]$ . Define tangential derivations  $tder_2: u \in tder_2$  if  $u(x) = [x, a(x, y)]$ ,  $u(y) = [y, b(x, y)]$  for some words  $a, b \in \widehat{lie}_2$ . We can identify  $u$  with  $(a, b)$ . Actually,  $tder_2$  is a Lie algebra (Lie bracket = commutator of derivations).

Now we define the divergence

$$\begin{aligned} \text{div}: t\text{der}_2 &\rightarrow \text{tr}_2, \\ u = (a, b) &\mapsto \text{tr}(x\partial_x a + y\partial_y b). \end{aligned}$$

Here's an example. Let's take  $u = ([x, y], x)$ . This means that  $u(x) = [x, [x, y]]$ ,  $u(y) = [y, x]$ , and

$$\text{div}(u) = \text{tr}(x\partial_x([x, y]) + y\partial_y(x)) = \text{tr}(x(-y)) = -\text{tr}(xy).$$

This div map is a cocycle (in the sense of Chevalley–Eilenberg cohomology):  $\text{div}([u, v]) = u \cdot \text{div}(v) - v \cdot \text{div}(u)$  (here  $\cdot$  denotes the action of  $t\text{der}_2$  on  $\text{tr}_2$ ).

### 3 Grothendieck–Teichmüller algebra

$\text{grt}_1$  is generated by  $(0, \psi) \in t\text{der}_2$  such that:

1.  $\psi(x, y) = -\psi(y, x)$ ;
2. hexagonal equation:  $\psi(x, y) + \psi(y, z) + \psi(z, x) = 0$  if  $x + y + z = 0$ ;
3. pentagonal equation: some equation with values on  $t_4$ , which comes from the Khono–Drinfeld Lie algebra.

The Khono–Drinfeld Lie algebra  $t_n$  is generated by  $n(n-1)/2$  elements  $t^{i,j} = t^{j,i}$  with relations

1.  $[t^{i,j}, t^{k,l}] = 0$  for  $\{i, j\} \neq \{k, l\}$ ;
2.  $[t^{i,j} + t_{i,k}, t^{j,k}] = 0$  for  $i \neq j$  and  $j \neq k$ .

So the third equation on  $\text{grt}_1$  is the pentagonal equation

$$\psi(t^{1,2}, t^{2,3^4}) + \psi(t^{12,3}, t^{3,4}) = \psi(t^{2,3}, t^{3,4}) + \psi(t^{1,2^3}, t^{2^3,4}) + \psi(t^{1,2}, t^{2,3^3}),$$

where  $t^{1,2^3} = t^{1,2} + t^{1,3}$ .

We know a couple of things about  $\text{grt}_1$ : it is graded by weight of  $\psi \in \text{lie}_2$  (put  $\deg(x) = \deg(y) = 1$ ):

$$\text{grt}_1 = \bigoplus \text{grt}_1^m.$$

It admits a decreasing filtration by depth = smallest  $y$ -degree in any monomial of  $\psi$ . For example,  $(0, [x, [x, y]] - [y, [y, x]]) \in \text{grt}_1$  has depth 1.

We know there exist elements of depth 1 in every odd degree; they are called the Soulé elements.

Define  $\text{krv}$  to be the set of  $u = (a, b) \in t\text{der}_2$  such that  $[x, a(x, y)] + [y, b(x, y)] = 0$ ,  $\text{div}(u) = \text{tr}(\rho(x) + \rho(y) - \rho(x + y))$  for some  $\rho \in \text{tr}_1$ .

**Teorema 3.1** (Alekseev–Torossian). There is an injective Lie algebra homomorphism

$$\begin{aligned} \text{grt}_1 &\hookrightarrow \text{krv}, \\ (0, \psi) &\mapsto (\psi(-x - y, x) + \psi(-x - y, y)). \end{aligned}$$

Take  $t = (y, x)$ ; then  $t(x) = [x, y]$ ,  $t(y) = [y, x]$ , and  $t \in \text{krv}$ : we have  $[x, y] + [y, x] = 0$ , so  $\text{div}(t) = \text{tr}(x\partial_x(y) + y\partial_y(x)) = 0$ .

How to get isomorphism in depth 2?

1. Modify  $\text{krv}$  into  $\text{krv}'$  such that  $\text{krv} \cong \text{krv}'$ , and  $\text{krv}'$  admits the same depth filtration
2. Take the associated graded algebras; we want to show that they are isomorphic for depth 2.

We find that the space  $\ker\{\psi \mid \text{tr}(y\partial_y\psi) = 0\}$  contains  $gr(krv')^{(2)}$ . This kernel can be described as  $\{(0, \psi) \mid \text{div}((0, \psi)) = 0\}$ . Therefore, we need to compute  $\dim$  of this space. This is the same as the space of polynomials in  $v, w$  such that  $p(v, w) = -p(w, v)$  and  $p(v, w) = -p(v + w, -w)$ . As it happens, these two transformations generate the dihedral group  $D_6$ .

We computed this dimension, and got an upper bound. For the lower bound, we use the injection  $grt_1 \hookrightarrow kr v$ . We know the elements of depth 1; taking the commutator of two Soulé elements we get  $[\sigma_i, \sigma_j]$ , which is an element of depth 2. Luckily, the dimension gives a lower bound that coincides with the upper bound.